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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/560,907	12/16/2005	Toshinori Sugihara	1035-616	2364
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NIXON & VANDERHYE, PC 901 NORTH GLEBE ROAD, 11TH FLOOR			KIM, JAY C	
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

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	Application No.	Applicant(s)			
	10/560,907	SUGIHARA ET AL.			
Office Action Summary	Examiner	Art Unit			
	Jay C. Kim	2815			
The MAILING DATE of this communication ap Period for Reply	pears on the cover sheet with the o	correspondence address			
A SHORTENED STATUTORY PERIOD FOR REPL WHICHEVER IS LONGER, FROM THE MAILING Description of time may be available under the provisions of 37 CFR 1. after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period Failure to reply within the set or extended period for reply will, by statut Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	DATE OF THIS COMMUNICATION 136(a). In no event, however, may a reply be tire will apply and will expire SIX (6) MONTHS from e, cause the application to become ABANDONE	N. nely filed the mailing date of this communication. ED (35 U.S.C. § 133).			
Status					
1) Responsive to communication(s) filed on 20 S	September 2007.				
,	This action is FINAL . 2b)⊠ This action is non-final.				
3) Since this application is in condition for allows					
closed in accordance with the practice under	Ex parte Quayle, 1935 C.D. 11, 4	53 O.G. 213.			
Disposition of Claims					
4) ⊠ Claim(s) <u>1-34</u> is/are pending in the application 4a) Of the above claim(s) is/are withdra 5) □ Claim(s) is/are allowed. 6) ⊠ Claim(s) <u>1-34</u> is/are rejected. 7) □ Claim(s) is/are objected to. 8) □ Claim(s) are subject to restriction and/or	awn from consideration.				
Application Papers					
9)⊠ The specification is objected to by the Examin 10)⊠ The drawing(s) filed on 16 December 2005 is/ Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct 11)□ The oath or declaration is objected to by the Examin	are: a)⊠ accepted or b)⊡ objece e drawing(s) be held in abeyance. Se ction is required if the drawing(s) is ob	e 37 CFR 1.85(a). ojected to. See 37 CFR 1.121(d).			
Priority under 35 U.S.C. § 119					
12) △ Acknowledgment is made of a claim for foreign a) △ All b) ☐ Some * c) ☐ None of: 1. ☐ Certified copies of the priority document 2. ☐ Certified copies of the priority document 3. △ Copies of the certified copies of the priority application from the International Bureat* See the attached detailed Office action for a list	nts have been received. Its have been received in Applicat Ority documents have been receiv au (PCT Rule 17.2(a)).	ion No ed in this National Stage			
Attachment(s) 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date See Continuation Sheet.	4) Interview Summary Paper No(s)/Mail D 5) Notice of Informal I 6) Other:	ate			

Continuation of Attachment(s) 3). Information Disclosure Statement(s) (PTO/SB/08), Paper No(s)/Mail Date :12/16/05,7/26/06,9/11/06,1/16/07.

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DETAILED ACTION

This Office Action is in response to the Application filed December 16, 2005.

Election/Restrictions

 Applicants' election without traverse of Species d in the reply filed on September 20 is acknowledged. Claims 1-34 read on Species d.

Claim Objections

2. Claim 14 is objected to because of the following informalities: on line 3, "the blocking layer" should be replaced by "the blocking layers". Appropriate correction is required.

Claim Rejections - 35 USC § 112

- 3. The following is a quotation of the first paragraph of 35 U.S.C. 112:
 - The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.
- 4. Claims 1-34 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement. The claims contain subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention. In claim 1, Examiner finds it not enabling that the atmosphere "never" influences a region of the active layer, because there is always a probability greater

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than 0 that an atom or a molecule in an atmosphere to diffuse into the active layer through a blocking member, and physical parameters of the atmosphere including temperature would influence a region of the active layer. Claims 2-34 depend on claim 1, and therefore claims 2-34 are not enabling.

Claim Rejections - 35 USC § 103

- 5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 6. Claims 1-7, 11, 15-20, 27 and 28 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kawasaki et al. (US 2003/0047785) in view of Goodman (US 4,204,217) and further in view of Wager et al. (US 2003/0218222).

Regarding claims 1 and 2, Kawasaki et al. disclose a semiconductor device (Fig. 1) comprising an active layer (5) (line 3 of [0037]), to which elements are added (lines 3-4 of [0038]), and which is made of a semiconductor containing ZnO or Mg_xZn_{1-x}O (lines 1-3 of [0038]), and a blocking member (4a, 4b, 6, 7 and 9) (lines 3-5 of [0037], [0039], and line 6 of [0050]) for blocking the active layer (5) from an atmosphere such that the atmosphere does not substantially influence a region, in which a movable charge moves, of the active layer (5).

Kawasaki et al. differ from the claimed inventions by not showing that group I elements, group III elements, group IV elements, group V elements, or group VII

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elements are added to the active layer, and that the active layer is made of polycrystalline ZnO or $Mg_xZn_{1-x}O$, amorphous ZnO or amorphous $Mg_xZn_{1-x}O$, or either mixture of the polycrystalline ZnO and the amorphous ZnO or mixture of the polycrystalline $Mg_xZn_{1-x}O$ and the amorphous $Mg_xZn_{1-x}O$ (claim 1), and each of the elements corresponds to nitrogen, phosphorus, arsenic, or stibium, or the elements correspond to not less than two of nitrogen, phosphorus, arsenic, and stibium (claim 2).

Goodman discloses a semiconductor device (Fig. 1) comprising an active layer (16) made of polycrystalline or amorphous ZnO (col. 2, lines 7-9).

Since both Kawasaki et al. and Goodman teach a semiconductor device, it would have been obvious to the one of ordinary skill in the art at the time the invention was made that the active layer disclosed by Kawasaki et al. comprises polycrystalline or amorphous ZnO, because a polycrystalline or amorphous semiconductor material is commonly used in manufacturing a thin film transistor.

Further regarding claims 1 and 2, Kawasaki et al. in view of Goodman differ from the claimed inventions by not showing that group I elements, group III elements, group IV elements, group V elements, or group VII elements are added to the active layer (claim 1), and each of the elements corresponds to nitrogen, phosphorus, arsenic, or stibium, or the elements correspond to not less than two of nitrogen, phosphorus, arsenic, and stibium (claim 2).

Wager et al. disclose a semiconductor device (Figs. 9) comprising an active layer (41) made of ZnO (lines 3-4 of [0073]) to which dopants such as N, P and As and mixtures thereof can be added (lines 20-23 of [0038]).

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Since both Kawasaki et al. and Wager et al. teach a semiconductor device, it would have been obvious to the one of ordinary skill in the art at the time the invention was made to dope the active layer disclosed by Kawasaki et al. in view of Goodman with the dopants disclosed by Wager et al., because doping a ZnO semiconductor layer with N, P and As is well-known and should be controlled to achieve desired device characteristics in manufacturing a semiconductor device comprising a ZnO active layer.

Regarding claims 3-5, Kawasaki et al. in view of Goodman and further in view of Wager et al. differ from the claimed inventions by not showing that the elements corresponds to (i) hydrogen and (ii) nitrogen, phosphorus, arsenic, stibium, or not less than two of nitrogen, phosphorus, arsenic, and stibium (claim 3), and that the active layer is formed under an atmosphere containing (i) one or more of nitrogen, dinitrogen monoxide, nitrogen monoxide, and nitrogen dioxide, and (ii) one or more of water vapor, hydrogen peroxide, and ammonia (claim 4), and not showing a method comprising the step of forming the active layer under an atmosphere containing (i) one or more of nitrogen, dinitrogen monoxide, nitrogen monoxide, and nitrogen dioxide, and (ii) one or more of water vapor, hydrogen peroxide, and ammonia (claim 5).

Wager et al. further disclose forming a ZnO semiconductor layer in an atmosphere that includes at least one sputter gas including Ar and Ne, and at least one film-modifying gas including oxidative gases including O_2 and N_2O , and dopant gases including N_2 and N_3 (lines 1-4 and 13-16 of [0041]), and therefore the atmosphere can include a mixture of dinitrogen monoxide (N_2O) and ammonia

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(NH₃), which would inherently add hydrogen and nitrogen to the ZnO semiconductor layer when N₂O and NH₃ molecules break up.

Since both Kawasaki et al. and Wager et al. teach a semiconductor device, it would have been obvious to the one of ordinary skill in the art at the time the invention was made to form the ZnO semiconductor layer disclosed by Kawasaki et al. in view of Goodman and further in view of Wager et al. under an atmosphere containing N₂O and NH₃, because an oxidative gas such as N₂O is commonly used to reduce oxygen vacancy concentration in a ZnO semiconductor layer, and NH₃ gas is commonly used to dope a ZnO semiconductor layer.

Regarding claim 6, Kawasaki et al. further disclose for the semiconductor device as set forth in claim 1 that the blocking member (4a, 4b, 6, 7 and 9) is made up of different blocking layers (4a, 4b, 6, 7 and 9).

Regarding claim 7, Kawasaki et al. further disclose that a blocking layer (4b) is made of SiO₂, Al₂O₃, MgO, Ta₂O₅, TiO₂, ZrO₂, CeO₂, K₂O, Li₂O, Na₂O, Rb₂O, In₂O₃, La₂O₃, Sc₂O₃, Y₂O₃, or a solid solution containing at least two of them (lines 5-9 of [0041]).

Regarding claim 11, Kawasaki et al. further comprise for the semiconductor device as set forth in claim 6 a gate electrode (3) (line 4 of [0037]) for controlling move of a movable electric charge in the active layer (5), a gate insulating layer (4), which serves as a block layer, for insulating the active layer (5) from the gate electrode (3), a source electrode (6) connected to the active layer (5), and a drain electrode (7) connected to the active layer (5), wherein a blocking layer (4b) is made

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of SiO₂, Al₂O₃, MgO, Ta₂O₅, TiO₂, ZrO₂, CeO₂, K₂O, Li₂O, Na₂O, Rb₂O, In₂O₃, La₂O₃, Sc₂O₃, Y₂O₃, or a solid solution containing at least two of them (lines 5-9 of [0041]).

Regarding claim 15, Kawasaki et al. further disclose an electronic device (Figs. 8 and 9) comprising, as a switching element (T in Fig. 9), a thin film transistor (Fig. 1) ([0093] and lines 1-3 of [0096]).

Regarding claim 16, Kawasaki et al. further disclose that switching element (T) is connected to a picture element electrode (8 in Fig. 1) (line 8 of [0037]) such that an image signal is written in or read out from the picture element electrode (8).

Regarding claim 17, Kawasaki et al. further disclose an electronic device (Figs. 8 and 9) comprising, as a switching element (T in Fig. 9), a thin film transistor (Fig. 1) ([0093] and lines 1-3 of [0096]).

Regarding claim 18, Kawasaki et al. further disclose that the switching element (T) is connected to a picture element electrode (8 in Fig. 1) (line 8 of [0037]) such that an image signal is written in or read out from the picture element electrode (8).

Regarding claim 19, Kawasaki et al. further disclose an electronic device (Figs. 8 and 9) comprising, as a switching element (T in Fig. 9), a thin film transistor (Fig. 1) ([0093] and lines 1-3 of [0096]).

Regarding claim 20, Kawasaki et al. further disclose that the switching element (T) is connected to a picture element electrode (8 in Fig. 1) (line 8 of [0037]) such that an image signal is written in or read out from the picture element electrode (8).

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Regarding claim 27, Kawasaki et al. further disclose an electronic device (Figs. 8 and 9) comprising, as a switching element (T in Fig. 9), a thin film transistor (Fig. 1) ([0093] and lines 1-3 of [0096]).

Regarding claim 28, Kawasaki et al. further disclose that the switching element (T) is connected to a picture element electrode (8 in Fig. 1) (line 8 of [0037]) such that an image signal is written in or read out from the picture element electrode (8).

7. Claims 8, 12, 21, 22, 29 and 30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kawasaki et al. (US 2003/0047785) in view of Goodman (US 4,204,217) and further in view of Wager et al. (US 2003/0218222), and then further in view of Ogawa (US 2002/0056838). The teachings of Kawasaki et al. in view of Goodman and further in view of Wager et al. are discussed above.

Regarding claim 8, Kawasaki et al. further disclose for the semiconductor device as set forth in claim 7 that a blocking layer (9) ([0050]) constituting the blocking layers (4a, 4b, 6, 7 and 9) is made of silicon nitride, and the blocking layer (9) is so provided as to meet the active layer (5) separately from (i) each of two electrodes (6 and 7) serving as blocking layers and connected to the active layer (5), and (ii) an insulating layer (4), which serves as a blocking layer and meets the active layer (5), for insulating the active layer (5) from a control electrode (3) (line 4 of [0037]) for controlling move of a movable electric charge in the active layer (5).

Kawasaki et al. in view of Goodman and further in view of Wager et al. differ from the claimed invention by not showing the blocking layer is made of SiO₂, Al₂O₃,

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MgO, Ta₂O₅, TiO₂, ZrO₂, CeO₂, K₂O, Li₂O, Na₂O, Rb₂O, In₂O₃, La₂O₃, Sc₂O₃, Y₂O₃, ..., or a solid solution containing at least two of them.

Ogawa discloses a semiconductor device (Fig. 9) comprising a blocking layer (13) (line 1 of [0181]) for a ZnO semiconductor layer (23) (lines 5-6 of [0177]), wherein the blocking layer (13) can be made of SiO₂ (lines 8-11 of [0077]).

Since both Kawasaki et al. and Ogawa teach a semiconductor device, it would have been obvious to the one of ordinary skill in the art at the time the invention was made to replace the silicon nitride blocking layer disclosed by Kawasaki et al. in view of Goodman and further in view of Wager et al. with SiO₂ disclosed by Ogawa, because SiO₂ is commonly used as an alternative to silicon nitride in manufacturing a semiconductor device.

Regarding claim 12, Kawasaki et al. further disclose for the semiconductor device as set forth in claim 11 that a blocking layer (9) ([0050]) constituting the blocking layers (4a, 4b, 6, 7 and 9) is made of silicon nitride, and the blocking layer (9) is so provided as to meet the active layer (5) separately from the source electrode (6), the drain electrode (7), and the gate insulating layer (4), each of which serves as a blocking layer.

Kawasaki et al. in view of Goodman and further in view of Wager et al. differ from the claimed invention by not showing the blocking layer is made of SiO₂, Al₂O₃, MgO, Ta₂O₅, TiO₂, ZrO₂, CeO₂, K₂O, Li₂O, Na₂O, Rb₂O, In₂O₃, La₂O₃, Sc₂O₃, Y₂O₃, ..., or a solid solution containing at least two of them.

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Ogawa discloses a semiconductor device (Fig. 9) comprising a blocking layer (13) (line 1 of [0181]) for a ZnO semiconductor layer (23) (lines 5-6 of [0177]), wherein the blocking layer (13) can be made of SiO₂ (lines 8-11 of [0077]).

Since both Kawasaki et al. and Ogawa teach a semiconductor device, it would have been obvious to the one of ordinary skill in the art at the time the invention was made to replace the silicon nitride blocking layer disclosed by Kawasaki et al. in view of Goodman and further in view of Wager et al. with SiO₂ disclosed by Ogawa, because SiO₂ is commonly used as an alternative to silicon nitride in manufacturing a semiconductor device.

Regarding claim 21, Kawasaki et al. further disclose an electronic device (Figs. 8 and 9) comprising, as a switching element (T in Fig. 9), a thin film transistor (Fig. 1) ([0093] and lines 1-3 of [0096]).

Regarding claim 22, Kawasaki et al. further disclose that the switching element (T) is connected to a picture element electrode (8 in Fig. 1) (line 8 of [0037]) such that an image signal is written in or read out from the picture element electrode (8).

Regarding claim 29, Kawasaki et al. further disclose an electronic device (Figs. 8 and 9) comprising, as a switching element (T in Fig. 9), a thin film transistor (Fig. 1) ([0093] and lines 1-3 of [0096]).

Regarding claim 30, Kawasaki et al. further disclose that the switching element (T) is connected to a picture element electrode (8 in Fig. 1) (line 8 of [0037]) such that an image signal is written in or read out from the picture element electrode

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(8).

8. Claims 9, 10, 13, 14, 23-26 and 31-34 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kawasaki et al. (US 2003/0047785) in view of Goodman (US 4,204,217) and further in view of Wager et al. (US 2003/0218222), and then further in view of Kaneko et al. (US 5,166,816). The teachings of Kawasaki et al. in view of Goodman and further in view of Wager et al. are discussed above.

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Regarding claims 9 and 10, Kawasaki et al. further disclose for the semiconductor device as set forth in claim 6 that a blocking layer (9) ([0050]) is made of silicon nitride, and the blocking layer (9) is so provided as to meet the active layer (5) separately from (i) each of two electrodes (6 and 7) serving as blocking layers and connected to the active layer (5), and (ii) an insulating layer (4), which serves as a blocking layer and meets the active layer (5), for insulating the active layer (5) from a control electrode (3) (line 4 of [0037]) for controlling move of a movable electric charge in the active layer (5).

Kawasaki et al. in view of Goodman and further in view of Wager et al. differ from the claimed inventions by not showing that the blocking layer is made of resin.

Kaneko et al. disclose a semiconductor device (Fig. 6) wherein a blocking layer (61) is made of resin (col. 4, line 57), and the blocking layer (61) is so provided as to meet the active layer (54) (col. 4, lines 21-22) separately from (i) each of two electrodes (56 and 57) (col. 4, lines 15-16) serving as blocking layers and connected to the active layer (54), and (ii) an insulating layer (53) (col. 4, line 21), which serves as a blocking layer and meets the active layer (54), for insulating the active layer

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(54) from a control electrode (52) (col. 4, lines 20-21) for controlling move of a movable electric charge in the active layer (54).

Since both Kawasaki et al. and Kaneko et al. teach a semiconductor device, it would have been obvious to the one of ordinary skill in the art at the time the invention was made to replace the blocking layer disclosed by Kawasaki et al. in view of Goodman and further in view of Wager et al. with the polyimide resin disclosed by Kaneko et al., because a polyimide resin is a well-known material for forming an interlayer insulating film in manufacturing a thin film transistor.

Regarding claims 13 and 14, Kawasaki et al. further comprise for the semiconductor device as set forth in claim 6 a gate electrode (3) (line 4 of [0037]) for controlling move of a movable electric charge in the active layer (5), a gate insulating layer (4), which serves as a block layer, for insulating the active layer (5) from the gate electrode (3), a source electrode (6) connected to the active layer (5), a drain electrode (7) connected to the active layer (5), wherein a blocking layer (9) is made of silicon nitride ([0050]), and the blocking layer (9) is so provided as to meet the active layer (5) separately from the source electrode (6), the drain electrode (7), and the gate insulating layer (4), each of which serves as a blocking layer.

Kawasaki et al. in view of Goodman and further in view of Wager et al. differ from the claimed invention by not showing that the blocking layer is made of a resin.

Kaneko et al. disclose a semiconductor device (Fig. 6) comprising a gate electrode (52) (col. 4, lines 20-21) for controlling move of a movable electric charge in the active layer (54) (col. 4, lines 21-22), a gate insulating layer (53) (col. 4, line 21), which serves as a block layer, for insulating the active layer (54) from the gate

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electrode (52), a source electrode (57) (col. 4, lines 15-16) connected to the active layer (54), a drain electrode (56) (col. 4, line 16) connected to the active layer (54), wherein a blocking layer (61) is made of a resin (col. 4, line 57), and the blocking layer (61) is so provided as to meet the active layer (54) separately from the source electrode (57), the drain electrode (56), and the gate insulating layer (53), each of which serves as a blocking layer.

Since both Kawasaki et al. and Kaneko et al. teach a semiconductor device, it would have been obvious to the one of ordinary skill in the art at the time the invention was made to replace the silicon nitride blocking layer disclosed by Kawasaki et al. in view of Goodman and further in view of Wager et al. with the polyimide resin disclosed by Kaneko et al., because a polyimide resin is a well-known material for forming an interlayer insulating film in manufacturing a thin film transistor.

Regarding claim 23, Kawasaki et al. further disclose an electronic device (Figs. 8 and 9) comprising, as a switching element (T in Fig. 9), a thin film transistor (Fig. 1) ([0093] and lines 1-3 of [0096]).

Regarding claim 24, Kawasaki et al. further disclose that the switching element (T) is connected to a picture element electrode (8 in Fig. 1) (line 8 of [0037]) such that an image signal is written in or read out from the picture element electrode (8).

Regarding claim 25, Kawasaki et al. further disclose an electronic device (Figs. 8 and 9) comprising, as a switching element (T in Fig. 9), a thin film transistor (Fig. 1) ([0093] and lines 1-3 of [0096]).

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Regarding claim 26, Kawasaki et al. further disclose that the switching element (T) is connected to a picture element electrode (8 in Fig. 1) (line 8 of [0037]) such that an image signal is written in or read out from the picture element electrode (8).

Regarding claim 31, Kawasaki et al. further disclose an electronic device (Figs. 8 and 9) comprising, as a switching element (T in Fig. 9), a thin film transistor (Fig. 1) ([0093] and lines 1-3 of [0096]).

Regarding claim 32, Kawasaki et al. further disclose that the switching element (T) is connected to a picture element electrode (8 in Fig. 1) (line 8 of [0037]) such that an image signal is written in or read out from the picture element electrode (8).

Regarding claim 33, Kawasaki et al. further disclose an electronic device (Figs. 8 and 9) comprising, as a switching element (T in Fig. 9), a thin film transistor (Fig. 1) ([0093] and lines 1-3 of [0096]).

Regarding claim 34, Kawasaki et al. further disclose that the switching element (T) is connected to a picture element electrode (8 in Fig. 1) (line 8 of [0037]) such that an image signal is written in or read out from the picture element electrode (8).

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jay C. Kim whose telephone number is (571) 270-1620. The examiner can normally be reached on 7:30 AM - 5:00 PM EST.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kenneth Parker can be reached on (571) 272-2298. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

J.K. November 19, 2007

> Matthe C. Landey Primary Examina